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2012

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Recommended Citation

McGuire, A. D., Christensen, T. R., Hayes, D., Heroult, A., Euskirchen, E., Kimball, J. S., Koven, C., Lafleur, P., Miller, P. A., Oechel, W., Peylin, P., Williams, M., and Yi, Y.: An assessment of the carbon balance of Arctic tundra: comparisons among observations, process models, and atmospheric inversions, Biogeosciences, 9, 3185-3204, doi:10.5194/bg-9-3185-2012, 2012.

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Biogeosciences Discuss., 9, 4543-4594, 2012 www.biogeosciences-discuss.net/9/4543/2012/ doi: 10.5194/bgd-9-4543-2012 © Author(s) 2012. CC Attribution 3.0 License.

This discussion paper is/has been under review for the journal Biogeosciences (BG). Please refer to the corresponding final paper in BG if available.

An assessment of the carbon balance of arctic tundra: comparisons among observations, process models, and atmospheric inversions

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Received: 23 January 2012 - Accepted: 23 March) 2012 - Publisfied: 17 April 2012

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Published by Copernicus Publications on behalf of the European Geosciences Union.

Abstract

Although arctic tundra has been estimated to cover only 8 % of the global land surface, the large and potentially labile carbon pools currently stored in tundra soils have the potential for large emissions of carbon (C) under a warming climate. These emissions as radiatively active greenhouse gases in the form of both $CO₂$ and $CH₄$ could amplify global warming. Given the potential sensitivity of these ecosystems to climate change and the expectation that the Arctic will experience appreciable warming over the next century, it is important to assess whether responses of C exchange in tundra regions are likely to enhance or mitigate warming, in this study we compared analyses of C exchange of Arctic tundra between 1990-1999 and 2000-2006 among observa-10 tions, regional and global applications of process-based terrestrial biosphere models,

- and atmospheric inversion models. Syntheses of the compilation of flux observations and of inversion model results indicate that the annual exchange of $CO₂$ between arctic tundra and the atmosphere has large uncertainties that cannot be distinguished
- from neutral balance. The mean estimate from an ensemble of process-based model simulations suggests that arctic tundra acted as a sink for atmospheric $CO₂$ in recent decades, but based on the uncertainty estimates it cannot be determined with confidence whether these ecosystems represent a weak or a strong sink. Tundra was 0.6 °C warmer in the 2000s compared to the 1990s. The central estimates of the ob-
- servations, process-based models, and inversion models each identify stronger sinks in the 2000s compared with the 1990s. Similarly, the observations and the applications of regional process-based models suggest that $CH₄$ emissions from arctic tundra have increased from the 1990s to 2000s. Based on our analyses of the estimates from observations, process-based models, and inversion models, we estimate that arctic tundra was a sink for atmospheric $CO₂$ of 110 Tg C yr⁻¹ (uncertainty between a sink of 25 291 TgC yr⁻¹ and a source of 80 TgC yr⁻¹) and a source of CH₄ to the atmosphere of 19 Tg C yr⁻¹ (uncertainty between sources of 8 and 29 Tg C yr⁻¹). The suite of analyses conducted in this study indicate that it is clearly important to reduce uncertainties in the

observations, process-based models, and inversions in order to better understand the degree to which Arctic tundra is influencing atmospheric $CO₂$ and $CH₄$ concentrations. The reduction of uncertainties can he accomplished through (1) the strategic placement of more $CO₂$ and $CH₄$ monitoring stations to reduce uncertainties in inversions,

(2) improved observation networks of ground-based measurements of $CO₂$ and $CH₄$ 5 exchange to understand exchange in response to disturbance and across gradients of hydrological variability, and (3) the effective transfer of information from enhanced observation networks into process-based models to improve the simulation of $CO₂$ and $CH₄$ exchange from arctic tundra to the atmosphere.

1 Introduction 10

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The distribution of the arctic tundra biome is generally north of the boreal forest treeline and covers approximately 8 % of the global land surface (McGuire et al., 1997). The exact location of the biome's southern border is subjective, with the transition between closed boreal forest and treeless tundra up to several hundred kilometers wide in regions of low topographic relief (Vlassova, 2002; Callaghan et al., 2005). Climate in the Arctic is harsh, characterized by cold winters and cool summers, with mean July temperatures below ~12°C (Callaghan et al., 2005), and annual mean temperatures typically below -10° C (New et al., 1999). Consequently, plant growth is restricted to

- a relatively short growing season on the order of three months or less during the boreal summer. The tundra biome is home to approximately 1800 species of vascular 20 plants and has less species diversity than more temperate biomes (Callaghan et al., 2005). The stature of vascular plant species is limited by environmental conditions, with trees almost entirely absent and woody plant species restricted largely to shrubs and dwarf shrubs. In addition to vascular plant species, non-vascular mosses and lichens
- play a very important role in the structure and function of tundra ecosystems. Frozen 25 soils are prevalent in northern high latitudes and there is a gradient of continuous to discontinuous permafrost from north to south. Most of the tundra hiome is underlain

by continuous permafrost. The spatial and temporal dynamics of permafrost and periodic disturbance are crucial in shaping the arctic landscape and its heterogeneity with important consequences for the areal extent of wetlands and the exchange of carbon dioxide (CO₂) and methane (CH₄).

- Future climate warming is predicted to he very pronounced over the Arctic, especially 5 during winter and spring. The arctic autumn-winter is expected to warm between 3 and 6 °C by 2080 (SWIPA Assessment Executive Summary, 2011), which is expected to lead longer growing seasons, thawing of permafrost, warming and deepening of the soil active layer, and large changes in hydrology. These changes are likely to substantially affect tundra ecosystem structure and function. In fact, there is increasing evidence that 10
- physical and ecological changes are already occurring throughout the tundra hiome (Serreze et al., 2003; Hinzman et al., 2005; McDonald et al., 2004; Piao et al., 2008, 2011; Post et al., 2009; Rawlins et al., 2010; Rowland et al., 2010; Beck and Goetz, 2011; Kim et al., 2011).
- The large and potentially labile carbon (C) pools currently stored in arctic soils (Ping 15 et al., 2008; Tarnocai et al., 2009) have the potential to he emitted as radiatively active greenhouse gases in the form of both $CO₂$ and $CH₄$ under warmer conditions (Schuur et al., 2008, 2011; Chapin et al., 2008; McGuire et al., 2009; Schaefer et al., 2011; Koven et al., 2011). Whether the emissions of $CO₂$ from tundra soils tends to amplify
- or mitigate global warming depends on the degree to which C accumulation in tundra 20 plants responds to warming (Sitch et al., 2007). This balance determines whether the tundra is a source or sink of $CO₂$. Changes in the emissions of $CH₄$ may also affect the degree to which tundra amplifies or mitigates global warming. While $CH₄$ has only a small role on the mass balance of C between the atmosphere and tundra, it is a highly
- potent greenhouse gas. Changes in $CH₄$ emissions are likely to be strongly linked 25 to changes in hydrology. Current emissions of $CH₄$ are difficult to quantify because of substantial variability in time and space due to variations in the environment associated with topography, hydrology, and soil chemistry.

Because of the substantial changes that are already affecting the structure and function of arctic tundra, it is important to assess how C exchange of arctic tundra has been changing in recent decades. The response of C dynamics of arctic tundra to environmental change can he evaluated through a synthesis of (1) observations of C exchange

- with the atmosphere, (2) the application of process-based models, and (3) the analysis of atmospheric inversions of C exchange with the atmosphere. Each of these scaling approaches has it strengths, weaknesses, and limitations in assessing the carbon dynamics of arctic tundra. In this paper we compare analyses of C dynamics of arctic tundra in the two most recent decades among the three scaling approaches to gain
- insight on how C exchange of arctic tundra may be responding to ongoing environmental changes. The analysis in this paper represents the arctic tundra contribution to the Global Carbon Project's REgional Carbon Cycle and Assessment Processes (RECCAP) synthesis (Canadell et al., 2011).

2 Methods

2.1 Estimates from flux observations 15

Methods for ground-based observation of the exchange of C between land and atmosphere face great challenges in arctic environments. The challenges include (1) comprehensive spatial coverage in the face of a heterogeneous landscape mosaic that is often characterized by "hot spots"; (2) continuous sampling to achieve full year-round estimates of carbon dynamics; (3) high temporal resolution to sample episodic exchanges of $CO₂$ and $CH₄$; and (4) collection of C exchange data without line power in remote conditions.

A single technique is not available that meets all of these challenges. Currently, manual chambers, automatic chambers, and eddy covariance towers are the primary techniques being used to measure C exchange between tundra with the atmosphere. 25 For CO₂ flux measurements, these sampling techniques are linked to infra-red gas

analyzers that measure $CO₂$ concentrations. For $CH₄$, the field technology is less developed, and has relied on gas sample collection in the field, with laboratory estimates of $CH₄$ concentrations using gas chromatographs. Measurement systems have recently been developed that allow direct $CH₄$ concentration estimates in the field. In Table 1 we

- compare the relative performance of these methods for a number of requirements and considerations. Because typical tundra areas are heterogeneous, it is often necessary to employ chamber methods of measurement to differentiate the C exchange for the individual components (e.g., soil, moss, and vascular plants) and to better understand the underlying processes of iand-atmosphere C exchange. Chamber-based measure-
- ments complement tower-based measurements that more effectively integrate across a heterogeneous landscape, in Appendix A in the Supplement we have compiled approximately 250 estimates from 120 published papers of the mean exchange of $CO₂$ and $CH₄$ between Arctic tundra and the atmosphere at growing season, winter season, and annual time scales based on published observational studies. The exchange of
- $CO₂$ with the atmosphere is reported as net ecosystem exchange (NEE), i.e., net land-15 atmosphere $CO₂$ flux, in which a positive NEE represents a loss of $CO₂$ from tundra to the atmosphere. Similarly the exchange of $CH₄$ is reported as a positive flux when the net exchange is to the atmosphere and as a negative flux when the net exchange is into the ecosystem. Both $CO₂$ and $CH₄$ estimates are reported in units of C. In this study we used only estimates of $CO₂$ and $CH₄$ exchange from Appendix A in the Supplement 20 for the time period between 1990 and 2009 unless stated otherwise.

2.2 Estimates from process-based models

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The spatial domain of arctic tundra we considered in this study (Fig. 1) was defined by the Regional Carbon Cycle Assessment and Processes (RECCAP) Activity. It is important to note that the spatial domain of arctic tundra was defined from an atmospheric perspective as a region that could potentially be resolved by the applications of inversion models. The region does extend into boreal forest in some areas (for example in western North America). In this study we compare the carbon dynamics of Arctic tundra

between 1990 and 2006 estimated by regional applications of three models that have focused on representing processes in ecosystems underlain by permafrost: LPJ-Guess WHyMe (Smith et al., 2001; Wania et al., 2009a, h, 2010; Hickler et al., 2012), Orchidee (Koven et al., 2009, 2011), and version 6 of the Terrestrial Ecosystem Model (TEM6 ;

- McGuire et al., 2010; Hayes et al., 2011). For evaluating the production estimates of the three regional process-based models, we have also included the Terrestrial Carbon Flux (TOE) model (Kimball et al., 2009) in the regional process-based model analysis. The general features of the models are compared in Table 2 (see Appendix B in the Supplement for more details).
- The TOE is unique among the models in that it is partially driven by satellite-hased 10 vegetation gross primary production (GPP) estimates from the NASA Moderate Resolution Imaging Spectroradiometer (MODIS) for the period between 2000 and 2009 (Zhao and Running, 2010). The MODIS (MOD17) GPP estimates are used to derive vegetation net primary production (NPP), while heterotrophic respiration (RH) is deter-
- mined from a simulated surface (< 1 0 cm depth) soil organic carbon pool and dynamic 15 soil moisture and temperature constraints to litter decomposition. The TOE does not account for other carbon emission sources, including fire disturbance, so NEE is derived as the residual difference between NPP and RH, and assumed equivalent to net ecosystem production (NEP). The TOE calculations assume dynamic steady-state
- conditions between NPP and RH so that estimated NEE/NEP has no trend over the 20 decade from 2000 to 2009. The other three models were driven in a prognostic fashion by atmospheric $CO₂$ and climate data of their own choosing over the simulation period. Because of each models choice of climate data, both LPJ-Guess WHyMe and TEM6 produced estimates for only the 1990-2006 time period. Therefore, we only compare 2000-2006 among the models in the most recent decade. See Appendix B in the Sup-
- 25 plement for more details on the application of the regional models in this study.

All models make estimates of GPP, NPP, RH, and NEP. Both LPJ-Guess and TEM6 make estimates of losses of carbon to the atmosphere associated with fire and biogenic $CH₄$ emissions. In addition, TEM6 also calculates ecosystem losses of carbon

associated with the export of harvested products and dissolved organic carbon. For each of the models we also calculate the net ecosystem carbon balance (NCB) as the sum of NPP and the atmospheric and export losses. The sign conventions for NEP and NCB are positive for a net flux of carbon into the ecosystem. We also report net $CO₂-C$

- exchange with a sign convention of positive representing a source to the atmosphere and negative representing a sink into tundra ecosystems. In this study, we compare the mean C budget estimated from 1990-1999 and 2000-2006 among simulations of LPJ-Guess WHyMe, Orchidee, and TEM6. We also report net $CO₂-C$ exchange for each of the models as previously defined. We also provide mean GPP and NPP estimates
- simulated by TCP for 2000-2006. To explore issues Involving the mean seasonal cycle of $CO₂$ exchange, we compare the mean monthly flux estimates for GPP, NPP, RH, and NEP for 1990-2006 simulated by LPJ-Guess, Orchidee, and TEM6 , and for 2000- 2009 simulated by TCP. We also compare interannual variability for estimates of GPP, NPP, RH, NEP, other atmospheric losses, export losses, and NCB among the mod-
- els. To explore the importance of changing climate on the regional applications of the 15 process-based models, we conducted additional simulations that were driven by constant climate keeping all other drivers unchanged. The constant climate for the three models was based on the 1901-1930 climate used to drive their transient simulations. We estimate the effect of a changing climate on NPP, RH, and NEP between 1990 and
- 2006 by subtracting the estimates of the constant climate simulation of each model 20 from that of the corresponding transient climate simulation.

The RECCAP activity is also comparing the mean C budgets for the 1990s and 2000s estimated by a suite of global applications of dynamic global vegetation models (DGVMs). These DGVM applications were conducted as part of the Trendy project

(<http://dgvm.ceh.ac.uk>) to examine trends in the net land C exchange over the period 25 1980-2009. In this study we compare the mean C budget of these global applications for the Arctic tundra region of this study for the time periods 1990-1999 and 2000-2006. The DGVM applications compared In this study Include contributions from CLM4C (Lawrence et al., 2010), CLM4CN (Thornton et al., 2007, 2009; Bonan and

Levis, 2010; Lawrence et al., 2010), Hyland (Levy et al., 2004), LPJ (Sitch et al., 2003), LPJ-Guess (Smith et al., 2001), O-CN (v0.74; Zaehle and Friend, 2010), SDGVM (Woodward et al., 1995; Woodward and Lomas, 2004), and TRIFFID (Cox, 2001). The models used a common protocol (http://dgvm.ceh.ac.uk) applying CRU-NCEP climatology over the period 1901-2009. Note that the global application of LPJ-Guess is quite different from the regional application of LPJ-Guess WHyMe, which represents processes relevant to arctic tundra function and structure including (1) soil water freezing; (2) arctic shrub and open ground plant functional types (e.g., *Sphagnum* mosses and tundra graminolds; (3) peatland hydrology, decomposition, and plant functional types; (4) a methane module for peatlands; and (5) root exudates (see Appendix B in 10 the Supplement for details).

2.3 Estimates from inversion-based models

We also analyzed the mean land-atmosphere $CO₂-C$ exchange for the arctic tundra domain from a set of ten inversion models that were applied in support of REC-CAP analyses (Gurney et al., 2012). The inversion models include C13_CCAM_law, 15 C13_MATCH_rayner, CTRACKER_EU, CTRACKER_US, JENA_s96_v3.3, JMA_2010, LSCE_an_v2.1, LSCE_var_v1.0, NICAM_niwa_woala, and rigc_patra. Among these Inversion models, the applications span the time period from 1985-2009. However, the period of application is highly variable among the models. We report the mean NEE estimate for arctic tundra from 1990-1999 and 2000-2006 for eight of the applica-20 tions of these inversion models; we do not report the results from CTRACKER.EU or CTRACKER_US as these models did not start making estimates until 2001. We report

the mean season cycle of $CO₂-C$ exchange estimates based on the time period of application of each of the ten models. Similarly, we report the interannual variability of NEE anomalies across the time period of application of each of the ten models. 25

3 Results

3.1 Estimates based on flux observations

3.1.1 CO2 exchange

Most direct observational studies of the exchange of $CO₂$ between tundra and the atmosphere have been conducted during the summer growing season. These studies generally indicate that arctic tundra has been a sink for atmospheric $CO₂$ during the summer in all subregions of the Arctic (i.e., NEE is largely negative; Fig. 2) and that there has not been a substantial change in the sink strength between the 1990s and 2000s (Table 3). While it appears from Table 3 that the summer sink strength in Eurasia has more than doubled since 2000, the mean estimates are not significantly different 10 as the confidence limits since 2000 completely overlap the confidence limits for the 1990s. The existing observations suggest that wet (lowland) tundra is strong sink for $CO₂$ during the growing season, while dry/mesic (upland) tundra tends to be a growing season source of $CO₂$ to the atmosphere with a confidence interval that overlaps neutral balance (Table 4). 15

Only a handful of studies have estimated the exchange of $CO₂$ in winter, as there are considerable challenges in maintaining accurate flux measurements outside the growing season. The available estimates indicate that tundra ecosystems are sources of $CO₂$ to the atmosphere (Fig. 2, Table 3). Given that few studies have been conducted,

- it does not appear that the strength of sources differs among subregions (Table 3). 20 Also, the scarcity of winter exchange data does not allow us to evaluate if there are differences in source strength between the 1990s and 2000s. The existing observations suggest that there is little difference in the source strength of $CO₂$ during the winter between wet and dry/mesic tundra (Table 4).
- There are a growing number of ohservationally based studies that are attempting to 25 estimate annual $CO₂$ exchange between tundra and the atmosphere. In general, the range of variability among estimates is scattered around neutral annual $CO₂$ exchange

in all subregions (Fig. 2). In North America, the data suggest that tundra ecosystems tended to be annual sources of $CO₂$ to the atmosphere prior to 2000, but have been approximately neutral or weak sinks since 2000 (Table 3). The existing observations suggest that wet tundra is a strong sink for $CO₂$ annually, while dry/mesic tundra tends to be an annual source of $CO₂$ to the atmosphere with a confidence interval the overlaps neutral balance (Table 4).

Based on the mean and range of NEE observations reported in Table 3 for the different geographical regions of the Arctic, we developed first order estimates of NEE and ranges in uncertainty in those estimates for Arctic tundra between 1990 and 2009 (see Appendix C in the Supplement for details). This analysis suggests that tundra 10 was source of 138 Tg C yr^{-1} as CO₂ to the atmosphere in the 1990s with a range of uncertainty between a 102 Tg C yr⁻¹ sink and a 378 Tg C yr⁻¹ source. In contrast, the analysis suggests that tundra was a 202 Tg C yr⁻¹ sink in the 2000s with an uncertainty range between a 628 Tg C yr^{-1} sink and 224 Tg C yr⁻¹ source. Across the two decades, we estimate that tundra was a sink of 103 Tg C yr^{-1} with an uncertainty be- 15 tween a 297 $T g C yr^{-1}$ sink and 89 $T g C yr^{-1}$ source.

3.1.2 CH4 exchange

Similar to data on $CO₂$ exchange, most of the studies of the exchange of $CH₄$ between tundra and the atmosphere have been conducted during the summer growing season. These studies generally indicate that arctic tundra is a substantial source of 20 $CH₄$ to the atmosphere during the summer (Fig. 2) and that there has not been a substantial change in the strength of the source between the 1990s and 2000s (Table 3). Flowever, the existing observations suggest that there are differences among different tundra types as mean summer emissions of CH_4 for wet tundra are 9.2 g C m⁻² com-

pared with 0.8 g C m^{-2} for dry/mesic tundra with no overlap in the confidence intervals 25 (Table 4). There are only two studies that have estimated the exchange of $CH₄$ in winter, and these studies indicate that tundra ecosystems are a weak source of around

3.0 (range 0.1 to 6.0) g CH_4 -C m⁻² winter⁻¹ to the atmosphere. The inference from the studies in North America during the 2000s suggest that there are substantial emissions of $CH₄$ during the winter, but the studies in northern Europe in the 2000s suggest that $CH₄$ emissions in winter are negligible. The inconsistency is likely to due to bias associated with different representations of wet and dry/mesic tundra in the summer vs. annual estimates, as the summer and annual fluxes are consistent with each other when broken down by landscape position/wetness (Table 4).

Based on the mean and range of $CH₄$ observations reported in Table 3 for the different geographical regions of the Arctic, we developed estimates of $CH₄$ emissions and ranges in uncertainty in those estimates for Arctic tundra before and since 2000 (see Appendix C in the Supplement for details). This analysis suggests that tundra emitted 10 Tg C yr⁻¹ as CH₄ to the atmosphere in the 1990s with a range of uncertainty between -1 and 22 Tg C yr⁻¹. The analysis suggests that tundra was stronger emitter of $CH₄$ during the 2000s (20 Tg C yr⁻¹), but the uncertainties since 2000 are much larger than in the 1990s (between a sink of 11 and a source of 51 TgC yr⁻¹). Across the two 15

decades, our analysis indicates that tundra emitted 11 $T g C yr^{-1}$ as CH₄ with a range from 0 to 22 Tg C yr^{-1} .

3.2 Process-based model estimates *°*

3.2.1 Mean C budgets for 1990-1999 and 2000-2006 I

GPP estimated by the regional applications of process-based models over the arctic 20 tundra region from 1990 through 1999 varies from 1755 TgC yr^{-1} (191 g C m⁻² yr⁻¹) for LPJ-Guess WHyMe to 5295 Tg C yr⁻¹ (577 g C m⁻² yr⁻¹) for Orchidee (Table 5). NPP is estimated to be approximately 65%, 61%, and 40% of GPP by LPJ-Guess WHyMe, Orchidee, and TEM6, respectively. TEM6 estimates a higher proportion of GPP allocated to autotrophic respiration because the temperature sensitivity of au-25 totrophic respiration in the model increases with decreasing mean annual temperature

(McGuire et al., 1992). RH estimates vary from 875 TgC yr^{-1} (95 g C m⁻² yr⁻¹) for TEM6 to 2954 Tg C yr⁻¹ (322 g C m⁻² yr⁻¹) for Orchidee, and RH is estimated to be less than NPP by each model. NEP estimates vary from 85 Tg C yr⁻¹ (10 g C m⁻² yr⁻¹) for TEM6 to 255 Tg C yr⁻¹ (28 g C m⁻² yr⁻¹) for Orchidee. TEM estimates 30 Tg C yr⁻¹ in fire emissions, which is $20 \text{ Tg} \text{Cyr}^{-1}$ more than is estimated by LPJ-Guess WHyMe. After accounting for fire emissions of $CO₂$, the estimates of the net exchange of $CO₂$ vary from 55 Tg C yr⁻¹ taken up from the atmosphere by TEM6 to 255 Tg C yr⁻¹ taken up by Orchidee, which is a higher range of uptake than is estimated by the global applications of process models (from null balance estimated by Hyland to an uptake

- of 188 Tg C yr⁻¹ by LPJ-Guess). Most of the NEP estimated by TEM6 is lost to fire emissions, biogenic CH_4 emissions, and the export of harvested products and DOC. Approximately 20% of NEP estimated by LPJ-Guess WHyMe is lost to fire and $CH₄$ emissions. Thus, NCB estimated by the models varies from approximately 20 TqC yr^{-1} (TEM6) to 255 Tg C yr^{-1} (Orchidee).
- In comparison to the 1990s, GPP estimated by the regional applications of process-15 based models over the Arctic tundra region from 2000 through 2006 is higher (from an increase of 9 g C m⁻² yr⁻¹ by TEM to an increase of 38 g C m⁻² yr⁻¹ by Orchidee; compare Table 6 to Table 5). The satellite-hased estimate of GPP by TOE from 2000-2006 is 307 g C m^{-2} yr⁻¹, which is 47% and 14% higher than the estimates by LPJ-Guess WHyMe and TEM6 , respectively, and 50 % of the estimate by Orchidee. Similar to GPP, 20
- both NPP and RH estimates of the regional applications are higher in the 2000s compared to the 1990s. Although NEP estimates increase by 1 to $6 \text{ g C m}^{-2} \text{ yr}^{-1}$ between the two decades across the models, the increase from 1990 to 2006 is significant only for the LPJ-Guess WHyMe simulation $(0.57 \text{ g C m}^{-2} \text{ yr} ^{-1}$; $P = 0.001$). The TEM6 simu-
- lation estimates that fire emissions doubled in the 2000s compared to the 1990s. Esti-25 mates of the net uptake of $CO₂$ increase (lower or more negative net $CO₂-C$ exchange) for both LPJ-Guess WHyMe and Orchidee in the 2000s compared to the 1990s, hut decrease for TEM6 . Between 1990 and 2006 both Orchidee and LPJ-Guess WHyMe estimate substantially greater net uptake of $CO₂$ (31 and $4 \text{ g} \text{ C m} ^ {-2} \text{ yr} ^ {-1}$, respectively;

Table 7) than TEM6 $(4 g C m^{-2} yr^{-1})$. Among the global models, only LPJ and SDGVM estimate less net uptake of $CO₂$ (less negative net $CO₂-C$ exchange) in the 2000s (Table 6) compared to the 1990s (Table 5). The greatest uptake between 1990 and 2006 among the global models is simulated by LPJ-Guess (23 g C $\text{m} ^{-2}$ yr⁻¹), which is similar to the uptake estimated by LPJ-Guess WHyMe (Table 7).

To explore the importance of changing climate on the regional applications of the process-based models, we conducted additional simulations that were driven by constant climate. We estimated the effect of a changing climate on NPP, RH, and NEP between 1990 and 2006 by subtracting the estimates of the constant climate simulation of

- each model from that of the corresponding transient climate simulation. This analysis 10 indicated that climate change between 1990 and 2006 caused NPP and RH of ail three models to increase (Table 8). In comparison to TEM6, NPP was 69% and 106% more sensitive in the LPJ-Guess WHyMe and Orchidee simulations, respectively, in contrast, the RH sensitivity of LPJ-Guess WHyMe was similar to that of TEM6 , while the RH sen-
- sitivity of Orchidee was 146% more sensitive than TEM6 . The different sensitivities of 15 NPP and RH caused quite different sensitivities in NEP. Climate change between 1990 and 2006 caused LPJ-Guess WHyMe NEP to increase by $8 \text{ g C m}^{-2} \text{ yr}^{-1}$, TEM6 NEP to increase by 1 g C m⁻² yr⁻¹, and Orchidee NEP to decrease by $4 g C m^{-2} yr^{-1}$.

3.2.2 Seasonal cycle and changes in the seasonal cycle

- The shape of the seasonal cycle of NPP, RH, and NEP is similar among the regional 20 applications of the process-based models (Fig. 3). in general, all models indicate that the growing season lasts from June through September with three of the models indicating that NPP starts to increase above winter values in May (LPJ-Guess WHyMe, Orchidee, TOE) and one of the models indicating a similar level of May production in
- October (Orchidee). In contrast to the other models, TOE is the only model that predicts 25 positive, albeit very small, fluxes of NPP throughout the winter. Ail models estimate that the month of maximum production is July, followed closely by August. RH is generally

estimated to be small from November through April, to increase in magnitude from May through July/August, and to decrease in magnitude during September and October. All the models estimate that the month of maximum RH Is July, except for LPJ-Guess WHyMe, for which August is characterized by the greatest RH. All models indicate that the maximum NEP occurs in July, hut the number of months with positive NEP varies

among the models between two (TOE) and four (LPJ-Guess WHyMe and Orchidee).

Both LPJ-Guess WHyMe and Orchidee have the same pattern of differences in monthly NEP between the 2000s and 1990s (Fig. 4), with the largest increases in July. LPJ-Guess WHyMe has relatively larger Increases in May, while Orchidee has relatively larger increases in August. The summer increases of LPJ-Guess WHyMe and Orchidee are driven by increases in NPP that are greater than increases in RH. In contrast, TEM6 has the largest increases in September, followed by August as NPP increases in August and September are greater than increases in RH; in June and July there are similar increases in both NPP and RH. All three models indicate substantially lower NEP in October because of increases in RH when NPP is close to zero in both 15 decades.

3.2.3 Interannual variability

Among the regional applications of the process-based models, Orchidee stands out as having the highest range of interannual variability in GPP, NPP, RH, and NEP (Fig. 5).

Correlations are high among the models for interannual variability in the anomalies of 20 GPP *{R =* 0.73 to 0.88; Fig. 5a) and RH *{R =* 0.81 to 0.97; Fig. 5c). The correlations for NPP anomalies (Fig. 5b) are slightly lower $(R = 0.66$ to 0.80), except for a low correlation between TCF and TEM6 $(R = 0.23)$. In contrast, correlations among the anomalies of NEP (Fig. 5d) are poor and range from negative correlations $(R = -0.64)$ between Orchidee and TOE) to low positive correlations *{R =* 0.30 between Orchidee 25 and TEM6).

TEM6 estimates of fire emissions are characterized by substantial interannual variability in comparison to LPJ-Guess WHyMe, which has little interannual variability

(Fig. 6a); the variability is uncorrelated between the models $(R = 0.07)$. LPJ-Guess estimates of biogenic CH₄ emissions are correlated with those of TEM6 $(R = 0.69; Fig. 6b)$, but are characterized by more interannual variability than those of TEM6 . The other flux anomalies estimated by TEM6 have less interannual variability (\sim 0.25 gC m⁻²; Fig. 6c) than fire emissions (\sim 16 g C m⁻²; Fig. 6a) and bioigenic CH₄ emissions (\sim 0.5 g C m⁻²; Fig. 6b). In general, the correlations among the models for interannual variability in NCB (Fig. 6d) are similar to those for NEP (Fig. 6d), except that all of the correlations are weaker between TEM6 and the other models. This suggests that fire emissions, biogenic $CH₄$ emissions, and other export fluxes are important to consider in evaluat-

ing interannual variability in carbon storage of Arctic tundra. 10

3.3 Atmospheric inversion estimates

We analyzed the net exchange of $CO₂$ (i.e., NEE) between arctic tundra and the atmosphere estimated by inversions for 1990-1999 and 2000-2006 (Table 9). Among the three models that made estimates between 1990 and 1999, the mean annual exchange ranged from a source of 140 TgC yr^{-1} (15 g C m⁻² y r⁻¹) to a sink of 321 T g C y r⁻¹ 15 $(35 g C m⁻² yr⁻¹)$. In comparison to the 1990-1999 time period, the range among the eight models that made estimates for 2000-2006 is wider and ranges from a source of 206 Tg C yr⁻¹ (22 g C m⁻² yr⁻¹) to a sink of 439 Tg C yr⁻¹ (48 g C m⁻² yr⁻¹).

The shape of the mean seasonal cycle of NEE between 2000 and 2006 is generally similar among the inversions (Fig. 7). All models indicate that the maximum NEE occurs in July, but the number of months with negative NEE varies among the models between two (C13_MATCH_rayner) and four (LSCE_an_v2.1). Among the inversion models, the NEE estimates of individual months are generally within 10 g C m^{-2} except for LSCE_an_v2.1, which estimates higher releases of $CO₂$ to the atmosphere than the other models in April and May and higher uptake of $CO₂$ in July, August, and Septem-25 ber.

Among the inversion models, interannual variability is smallest for LSCE_an_v2.1 (standard deviation of NEE anomalies = $2.1 \text{ g} \text{C m} ^{-2} \text{ yr} ^{-1}$) and largest for rigc_patra

(standard deviation = 13.1 g C m⁻² yr⁻¹) (Fig. 8). Similar to the correlations of interannual variability in NEP anomalies among the process-based models, the correlations of interannual variability in NEE anomalies among the inversion models is poor with a mean correlation of 0.03; correlations range between -0.38 (between CTRACKER_EU and $C13$ ₋CCAM₋law) to $+0.99$ (between CTRACKER_{-US} and LSCE_{-an-v2.1).}

4 Discussion

The changing C balance of Arctic tundra has been an issue of concern for several decades (Billings et al., 1983; Oechel et al., 1993; McGuire et al., 2000, 2009, 2010; Chapin et al., 2000; Sitch et al., 2007; Hayes et al., 2011). It has been hypothesized that tundra will become a source of C to the atmosphere because of C emissions associated with the warming of soil organic matter in the active layer as well as the exposure of previously frozen C to decomposition as the active layer deepens. Some recent model applications that consider soil C stocks at depth in high latitudes and the exposure of those stocks to decomposition upon permafrost thaw indicate that northern terrestrial ecosystems will release soil C to the atmosphere (Koven et al., 2011; 15 Schaefer et al., 2011; Schneider von Deimling et al., 2012). It has also been hypothe-

- sized that tundra could become a sink for atmospheric $CO₂$ if N-limited plants in tundra regions take up a substantial proportion of N that is released by enhanced decomposition (Shaver et al., 1992). Some coupled climate-carbon model simulations predict
- that the northern high latitudes will serve as a substantial land carbon sink during the 20 21 st century because both climate warming and elevated global [CO₂] favor increased productivity and $CO₂$ uptake in the region (Friedlingstein et al., 2006; Qian et al., 2010; Sitch et al., 2008). Whether tundra becomes a source or a sink of atmospheric $CO₂$ in response to warming is an important scientific issue to resolve, as substantial source
- activity could compromise efforts to mitigate the increase of greenhouse gases in the 25 atmosphere. Changes in $CH₄$ emissions are also important, because of the high global warming potential of $CH₄$. In terms of climate forcing, increasing $CH₄$ emissions could

offset the effects of a $CO₂$ sink, or enhance the effects of a $CO₂$ source. In this study, we attempt to shed some light on these issues by analyzing the C balance of Arctic tundra through a synthetic comparison among estimates of $CO₂$ and $CH₄$ fluxes based on observations, regional and global applications of process-based models, and in- σ version models. We focused our comparison on the mean CO₂ and CH₄ budgets for the time periods 1990-1999 and 2000-2006, on aspects of the seasonal cycle of $CO₂$ exchange, and on interannual variability of $CO₂$ exchange.

4.1 Mean C budgets for the 1990s and 2000s

Table 10 compares the mean net exchanges of $CO₂-C$ and $CH₄-C$ from Arctic tundra to the atmosphere among observations, process-based models, and inversion models (see Appendix C in the Supplement for documentation of the estimates reported in Table 10). Syntheses of the compilation of flux observations and of inversion model results for Arctic tundra indicate that the annual exchange of $CO₂$ between Arctic tundra and the atmosphere has large uncertainties that cannot he distinguished from neutral balance. The synthesis of process-based model simulations indicate that Arctic has

- 15 been acting as a sink for atmospheric $CO₂$, but based on the uncertainty estimates it is not clear if Arctic tundra acted as a weak or a strong sink. In comparison to the glohal process-based models, the regional process-based models indicate that Arctic tundra acted as a stronger sink.
- Analysis of the CRU-NCEP data sets indicates that the region was 0.6 °C warmer 20 in the 2000s compared to the 1990s. Most of the warming was in the autumn and winter (1.1 °C warmer) followed by summer (0.3 °C warmer), and little difference in the spring. The pattern of warmer autumns, winters, and summers in the 2000s occurred in all of the subregions except North America in which the mean summer temperature
- was not different between the two decades. It is notable that the central estimates of the 25 observations, process-based models, and inversion models each identify stronger $CO₂$ sinks in the warmer 2000s compared with the 1990s. A stronger sink in the 2000s compared to the 1990s suggests that the efficiency of the tundra $CO₂$ sink is not currently

weakening; a common response of process-based models to warming in this region is that NPP increases faster in response to warming than RH (Sitch et al., 2007).

The largest changes in central estimates between the 1990s and 2000s are for those of the observations and the inversion models, and there is more convergence

- among the central estimates in the 2000s than in the 1990s. The large changes between decades for the observations and inversion models might reflect biases in the 1990s since the diversity of flux observations, and the number of $CO₂$ concentration measuring stations and inversion model applications were fewer than in the 2000s. The only mean source activity is that suggested by the observations in the 1990s. In partic-
- ular, the source strength of the observations in North America in the 1990s is driving the overall source estimate for Arctic tundra in the 1990s. It is possible that tundra in North America was responding differently than tundra in other regions of the Arctic as northwestern North America warmed more strongly than other regions of the Arctic at the end of the last century (Serreze et al., 2000). It is also possible that sampling of observations in the 1990s was biased toward dry tundra, which tends to act as a source
- tor C in the observations in both the 1990s and 2000s.

In general, the regional process-based model applications predict stronger sinks than the glohal applications. This is primarily due to the response of Orchidee, which has the highest NPP and NEE among the 11 models. It is notable that NPP and the

- sink strength of O-CN is much less than that of Orchidee, which might reflect the role of N in limiting productivity in O-CN. Although the sink strength of the central estimates of both regional and glohal process-based model applications increase from the 1990s and 2000s, 5 of the 11 process-based models show either no change or a weaker sink between decades (TEM6 , CLM4CN, Hyland, LPJ, and SDGVM). Detailed analysis of
- one of the model applications (TEM6) suggests that tundra became a weaker sink from 25 the 1970s through the 2000s because of the effects of climate on net ecosystem carbon balance (McGuire et al., 2010). The constant climate experiments we conducted with the regional model applications suggests that warming increases both NPP and RH in all three models, but that the relative responses of NPP and RH to warming

are different among the models. This analysis indicates that the relative responses of NPP and RH to climate change are major sources of uncertainty in the application of process-based models to assess whether Arctic tundra will act as a positive or negative feedback to climate change.

- Our analysis of $CH₄$ responses between decades is limited to comparison between 5 the observations and the regional model applications (Table 10). There is substantial uncertainty in the 2000s $CH₄$ flux observation-based estimate. Because of big differences in estimates of $CH₄$ fluxes between wet and dry/mesic tundra (Table 4), uncertainties could be reduced by adequately sampling $CH₄$ exchange between these two types of tundra within each of the subregions that we considered in scaling the $CH₄$ observations. The synthesis of observations produces central estimates in the 1990s (10 Tg CH₄-C yr⁻¹) and 2000s (20 Tg CH₄-C yr⁻¹) that are consistent with the range of uncertainty (23 to 75 Tg CH₄-C yr⁻¹) among observation-based and process-model estimates (McGuire et al., 2009) for northern high latitude terrestrial regions that include boreal forest in addition to tundra. The central estimates in Table 10 are also consistent 15 with the range of uncertainty (11 to 38 $T g CH_4$ -C yr⁻¹) among CH₄ inversion models for
- northern high latitudes (McGuire et al., 2009). Similarly, the range of uncertainty of $CH₄$ exchange estimated by LPJ-Guess WHyMe and TEM6 are within the ranges of uncertainty for both the bottom-up (based on observations and process-based models) and
- top-down (based on Inversion models) reported by McGuire et al. (2009). The central 20 estimates of the observations suggest that $CH₄$ emissions have increased more than 100% from the 1990s to the 2000s, while the applications of LPJ-Guess WHyMe and TEM6 suggest that CH₄ emissions have only increased \sim 10–20% from the 1990s to the 2000s. Previous analyses with TEM6 suggest that Increasing temperature plays an important role in this response (Zhuang et al., 2007; McGuire et al., 2010). 25

4.2 Seasonal cycle and changes in the seasonal cycle

The sink strength of Arctic tundra for $CO₂$ could increase between decades because of more net $CO₂$ uptake during the middle of the growing season or because of greater

net uptake of $CO₂$ at either the start or end of the growing season. In general, the pattern of mean seasonal cycle NEP of the regional model applications is consistent with the pattern of mean seasonal cycle NEE of the inversion models. All applications identify that the greatest $CO₂$ uptake occurs in July, but there are differences among both) the regional model applications and inversions about the length of the net uptake period. Our analysis of the seasonal response of the regional model applications Indicates that NPP of LPJ-Guess WHyMe, Orchidee, and TEM6 Increased during the growing season in the 2000s compared to the 1990s. This Is consistent with a number of remote sensing studies that have concluded that the Arctic tundra has become more productive during the last several decades (Nemani et al., 2003; Zhang et al., 2008;

- Beck and Goetz, 2011; Goetz et al., 2011) in association with warmer summers (Piao et al., 2011). However, the models show different patterns in the response of NEP between decades. Both LPJ-Guess and Orchidee show stronger patterns of early and mid-growing season NEP increases than TEM6 , which has stronger NEP increases
- late in the growing season. A number of studies have concluded that the growing season in northern high latitude regions has increased in recent decades (McDonald et al., 2004; Euskirchen et al., 2006; Parmesan, 2007; Karlsen et al., 2009; Plao et al., 2011), although the Increase varies both spatially and temporally. The TEM6 late season NEP response is consistent with a recent analysis for boreal Eurasia that suggests that the
- springtime extension of the growing season has stalled from 1997-2006 while the fall season has continued to lengthen and warm (Piao et al., 2011). The pattern of lower October NEP between decades among all three models is consistent with the analysis of Piao et al. (2008), which concludes that warmer and longer falls lead to greater $CO₂$ release in northern terrestrial ecosystems.

4.3 Interannual variability 25

Our analysis of Inter-annual NEP anomalies among the regional model applications and of inter-annual NEE anomalies among the inversion models indicates that there is little agreement among the models on the pattern of inter-annual exchanges of $CO₂$

between Arctic tundra and the atmosphere. Although different inversions generally agree on the pattern inter-annual variability of regional NEE (Gurney et al., 2008), this is not the case for the Arctic tundra region and suggests that the inversions are not well constrained in this region. The regional applications of the process-models gener-

ally agree on patterns of inter-annual variability for GPP, NPP, and RH. The interannual patterns of GPP for LPJ-Guess WhyMe, Orchidee, and TEM6 are consistent with the satellite-based inter-annual variability of TCF, but the inter-annual variability of TEM6 NPP is not well correlated with that of TOR Clearly, differences between GPP/NPP and RH need to he better constrained for the models to improve estimates of inter-annual variability. 10

4.4 Best estimates of carbon balance from 1990-2009

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For the arctic tundra region, the use of observations, process-based models, and inversion models each have shortcomings with respect to estimating the net exchanges of $CO₂$ and CH₄ with the atmosphere in the 1990s and 2000s. Problems with observations include small sample size in comparison to the area being considered, biases in tundra types sampled in both space and time, different sampling technologies among the samples, and changes in sampling technology through time. Process-models have uncertainties with respect to conceptualization, formulation, and parameterization issues. Inversion models are not well constrained for the tundra regions. Given the shortcom-

- ings of these approaches, we decided to weight them equally in making estimates of 20 net $CO₂$ and $CH₄$ exchange with the atmosphere for the time period from 1990-2009. For estimating $CO₂$ exchange, we first averaged the regional and global process-based model central estimates and high and low estimates of uncertainty for the 1990-2006 period in Table 10 and then average those estimates with the corresponding estimates
- for the observations and inversion models. This procedure results in an estimate of 25 the net CO_2 -C exchange of CO_2 between the atmosphere and tundra ecosystems of a 110 Tg C yr⁻¹ sink with an uncertainty range between a sink of 291 Tg C yr⁻¹ and a source of 80 Tg C yr⁻¹. For estimating $CH₄$ exchange, we averaged the central and

the high and low estimates of uncertainty for the 1990-2006 period in Table 10 between the observations and the regional process-based models. This procedure results in an estimate of net $CH₄-C$ exchange between the atmosphere and tundra ecosystems of a source of 19 Tg C yr^{-1} with an uncertainty range between sources of 8 and 29 Tg C yr⁻¹.

5 Conclusions

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The syntheses of the compilation of flux observations and of inversion model results for Arctic tundra in this study indicate that the annual exchange of $CO₂$ between Arctic tundra and the atmosphere has large uncertainties that cannot be distinguished from neutral balance in the 1990s and 2000s. In contrast, the synthesis of process- 10 based model simulations indicate that Arctic tundra acted as a sink for atmospheric $CO₂$ in recent decades, but based on the uncertainty estimates it is not clear if Arctic tundra acted as a weak or a strong sink. Our analyses do suggest that both the $CO₂$ sink strength and the CH₄ emissions of Arctic tundra are increasing in recent decades. However, the analyses we conducted in this study indicate that responses of 15 the seasonal exchange of $CO₂$ between decades and the interannual variability in $CO₂$ exchange of process-based models are not consistent. Although the regional models generally agree on patterns of inter-annual variability in production and decomposition, the constant climate experiments we conducted with the regional models indicates

- that the relative sensitivity of production and decomposition to climate change are dif-20 ferent among the models. Thus, it is clearly important to reduce uncertainties in the observations, process-based models, and inversions in order to better understand the degree to which Arctic tundra is influencing atmospheric $CO₂$ and $CH₄$ concentrations. As inversion models are currently poorly constrained for making estimates of $CO₂$ ex-
- change for Arctic tundra, there is a need to identify and place additional atmospheric 25 CO₂ monitoring stations in a strategic fashion for better constraining inversion models. The availability and technology of ground-based observations in Arctic tundra are

improving, particularly through the implementation of the Arctic Observing Network that has been ongoing since the beginning of the International Polar Year in 2007 (Sorlin and Danell, 2008). However, it is important to improve the network so that observations can be effectively stratified into those for dry/mesic tundra vs. wet tundra so that

- regional estimates based on ground-based observations can be improved and uncertainties reduced. More importantly, observation networks need to be designed so that the observations can ultimately be synthesized to understand how and why the net annual and seasonal exchanges of $CO₂$ and $CH₄$ are changing in response to climate variability and change in different tundra types that span hydrological variability. Also,
- the effects of disturbances such as fire and thermokarst on the exchange of $CO₂$ and 10 $CH₄$ are not well represented in observation networks. Information from enhanced observation networks needs to be effectively transferred into process-based models to improve the simulation of $CO₂$ and $CH₄$ exchange so that process-based models can more reliably assess whether Arctic tundra will act as to amplify or mitigate global climate change. 15

Supplementary material related to this article is available online at: <http://www.biogeosciences-discuss.net/9/4543/2012/> bgd-9-4543-2012-supplement.pdf.

Acknowledgements. Support for this study was provided by the National Science Foundation, the US Geological Survey, the US Fish and Wildlife Service, the US Department of Energy 20 Office of Science (Biological and Environmental Research), the US National Aeronautics and Space Administration Terrestrial Ecology program, the European Union RTN GREENCYCLES II network, the Swedish Research Council VR and FORMAS, the Greenland Climate Research Center, the Mistra Swedish Research Programme for Climate, Impacts and Adaptation, the Lund University research programme Modelling the Regional and Global Earth System, the 25 European Union 6th Framework CARBO-North project, and the Lund University Centre for Studies of Carbon Cycle and Climate Interactions. The data for the global process-model sim-

ulations was provided by the Trendy multi-model evaluation project ([http://dgvm.ceh.ac.uk\)](http://dgvm.ceh.ac.uk), as part of the Global Carbon Project.

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Table 1. A summary of technical performance in various categories of different flux measurement techniques. Modified from Drösler et al. (unpublished data).

Table 2. Description of process-based models compared in this study. See Appendix B in the Supplement for additional details.

BGD 9, 4543- 4594, 2012 **An assessment of the carbon balance of arctic tundra** A. D. McGuire et al. Title Page Abstract Introduction Conclusions References Tables Figures 1-4 »-l ٠ × Back Close Full Screen / Esc Printer-friendly Version Interactive Discussion

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Table 3. Summary of Observationally Based Estimates of Mean Net CO₂-C and CH₄-C Exchange from Arctic Tundra to the Atmosphere (g C m^{-2} season⁻¹) for Different Subregions.

 1 Number of site-year estimates, 2 95 % confidence interval

 1 Number of site-year estimates, 2 95% confidence interval

Table 5. Mean C Budget of Arctic Tundra Simulated by Process Models for 1990-1999.

 $*$ Net CO₂-C Exchange, positive sign indicates source to the atmosphere and negative sign indicates tundra sink.

Table 6. Mean C Budget of Arctic Tundra Simulated by Process Models for 2000-2006.

 1 Net CO₂-C Exchange, positive sign indicates source to the atmosphere and negative sign indicates tundra sink. 2 Reported NEP does not equal reported NPP - reported RH.

Table 7. Mean C Budget of Arctic Tundra Simulated by Process Models for 1990-2006.

 1 Net CO₂-C Exchange, positive sign indicates source to the atmosphere and negative sign indicates tundra sink. ² Reported NEP does not equal reported NPP - reported RH.

Table ⁸ . Inferred climate effect of NPP, RH, and NEP for 1990-2006 as tfie difference between simulations of the regional applications of process models driven by transient climate and constant climate.

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Table 9. Mean Annual Net COg-C Exchange Estimates of Inversion Models of Arctic Tundra for the time periods 1990-1999 and 2000-2006.

Table 10. Comparison of Estimates of Mean Net CO₂-C and CH₄-C Exchange from Arctic Tundra to the Atmosphere (Tg C yr^{-1}) among Observations, Process-Based Models, and Inversion Models.

* The estimates for the observations reported for the 2000-2006 and the 1990-2006 periods may include information after 2006.

Fig.1. The Arctic Tundra RECCAP Region.

Fig. 2. A summary of the data presented in Appendix A in the Supplement; the summary includes observations prior to 1990. The synthesis of observed NEE for different geographical regions is shown in the first five panels. The mean (gCm⁻² day⁻¹) \pm standard deviation and the median are shown for summer, winter, and annual analyses. The number of studies used from Appendix A in the Supplement to estimate each mean/median is shown above the bars. Methane emissions for the circumpolar North are shown in the sixth panel in a similar fashion.

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Fig. 3. Mean monthly fluxes of gross primary production (GPP), net primary production (NPP), heterotrophic respiration (RH), and net ecosystem production (NEP) simulated by LPJ-Guess WHyMe (panel **A),** Orchidee (panel **B),** and TEM6 (panel **D)** between 1990 and 2006 and by TCP (panel **C)** between 2000 and 2009.

Fig. 4. Difference in mean monthly NEP between the 2000s (2000-2006) and the 1990s (1990-1999) for LPJ-Guess WHyMe, Orchidee, and TEM6.

Fig. 5. Comparisons among LPJ-Guess WHyMe, Orchidee, TCP, and TEM of inter-annual variability between 1990 and 2010 tor anomalies of gross primary production (GPP, panel **A),** net primary production (NPP, panel **B),** heterotrophic respiration (NPP, panel C), and net ecosystem production (NEP, panel **D).**

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Fig. 6. Comparisons among LPJ-Guess WHyMe, Orchidee, TCP, and TEM of inter-annual variability between 1990 and 2010 for anomalies of fire emissions (panel A), biogenic CH₄ emissions (panel **B),** Other fluxes (harvest and DOC exports, panel **C),** and net carbon balance (NCB, panel **D).**

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